

LIST OF EXHIBITS TO STOCKTON UNIFIED SCHOOL DISTRICT'S REQUEST FOR REVIEW AND/OR WAIVER

EXHIBIT A Stockton RIDF Letter 06172017

EXHIBIT B Stockton Administrator's Decision on Appeal FY 2002 11202017

EXHIBIT C Stockton USD First Demand Letter 01172018

EXHIBIT D Stockton USD Second Demand Letter 02202018

EXHIBIT E Stockton USD Audit Report SL 2005 BE 079 12102005

EXHIBIT F Stockton USD FY 2002 471 321303

EXHIBIT G Stockton USD 144339 Appeal of Improperly Disbursed Funds

EXHIBIT A

Stockton RIDF Letter 06172017

Robert Torres

STOCKTON CITY UNIF SCHOOL DIST

701 N Madison Street

STOCKTON, CA 95202-1687



Notification of Improperly Disbursed Funds Recovery Letter
Funding Year 2002: July 1, 2002 - June 30, 2003

June 21, 2017

Robert Torres
STOCKTON CITY UNIF SCHOOL DIST
701 N Madison Street
STOCKTON, CA 95202-1687

Re: Form 471 Application Number:	321303
Funding Year:	2002
Applicant's Form Identifier:	StocktonYr5IC
Billed Entity Number:	144339
FCC Registration Number:	0010892909
SPIN:	143005880
SPIN Name:	AMS.NET, Inc.
Service Provider Contact Person:	Robert Tocci

Our routine review of Schools and Libraries Program (SLP) funding commitments has revealed certain applications where funds were disbursed in violation of SLP rules.

In order to be sure that no funds are used in violation of SLP rules, the Universal Service Administrative Company (USAC) must now recover these improper disbursements. The purpose of this letter is to inform you of the recoveries as required by SLP rules, and to give you an opportunity to appeal this decision. USAC has determined the applicant is responsible for all or some of the Program rule violations. Therefore, the applicant is responsible to repay all or some of the funds disbursed in error.

This is NOT a bill. The next step in the recovery of improperly disbursed funds process is for USAC to issue you a Demand Payment Letter. The balance of the debt will be due within 30 days of that letter. Failure to pay the debt within 30 days from the date of the Demand Payment Letter could result in interest, late payment fees, administrative charges and implementation of the "Red Light Rule." The FCC's Red Light Rule requires USAC to dismiss pending FCC Form 471 applications if the entity responsible for paying the outstanding debt has not paid the debt, or otherwise made satisfactory arrangements to pay the debt within 30 days of the notice provided by USAC. For more information on the Red Light Rule, please see <https://www.fcc.gov/encyclopedia/red-light-frequently-asked-questions>.

TO APPEAL THIS DECISION:

If you wish to appeal the Notification of Improperly Disbursed Funds Recovery decision indicated in this letter to USAC, your appeal must be received or postmarked within 60 days of the date of this letter. Failure to meet this requirement will result in automatic dismissal of your appeal. In your letter of appeal:

1. Include the name, address, telephone number, fax number, and email address (if available) for the person who can most readily discuss this appeal with us.
2. State outright that your letter is an appeal. Identify the date of the Notification of Improperly Disbursed Funds Recovery Letter and the Funding Request Number(s) (FRNs) you are appealing. Your letter of appeal must include the
 - Billed Entity Name,
 - Form 471 Application Number,
 - Billed Entity Number, and
 - FCC Registration Number (FCC RN) from the top of your letter.
3. When explaining your appeal, copy the language or text from the Funding Disbursement Recovery Report that is the subject of your appeal to allow USAC to more readily understand your appeal and respond appropriately. Please keep your letter to the point, and provide documentation to support your appeal. Be sure to keep a copy of your entire appeal including any correspondence and documentation.
4. If you are an applicant, please provide a copy of your appeal to the service provider (s) affected by USAC's decision. If you are a service provider, please provide a copy of your appeal to the applicant(s) affected by USAC's decision.
5. Provide an authorized signature on your letter of appeal.

We strongly recommend that you use one of the electronic filing options. To submit your appeal to USAC by email, email your appeal to appeals@sl.universalservice.org or submit your appeal electronically by using the "Submit a Question" feature on the USAC website. USAC will automatically reply to incoming emails to confirm receipt.

To submit your appeal to us by fax, fax your appeal to (973) 599-6542.

To submit your appeal to us on paper, send your appeal to:

Letter of Appeal
Schools and Libraries Program - Correspondence Unit
30 Lanidex Plaza West
PO Box 685
Parsippany, NJ 07054-0685

For more information on submitting an appeal to USAC, see "Appeals" in the "Schools and Libraries" section of the USAC website.

FUNDING DISBURSEMENT RECOVERY REPORT

On the pages following this letter, we have provided a Funding Disbursement Recovery Report (Report) for the Form 471 application cited above. The enclosed Report includes the Funding Request Number(s) from the application for which recovery is necessary. See the "Guide to USAC Letters" posted at <http://www.usac.org/sl/tools/samples.aspx> for more information on each of the fields in the Report. USAC is also sending this information to the service provider for informational purposes. If USAC has determined the service provider is also responsible for any rule violation on these FRN(s), a separate letter will be sent to the service provider detailing the necessary service provider action. The Report explains the exact amount the applicant is responsible for repaying.

Schools and Libraries Program
Universal Services Administrative Company

cc: Robert Tocci
AMS.NET, Inc.

Funding Disbursement Recovery Report
for Form 471 Application Number: 321303

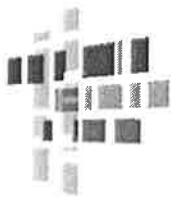
Funding Request Number: 856634
Services Ordered: INTERNAL CONNECTIONS
SPIN: 143005880
Service Provider Name: AMS.NET, Inc.
Contract Number: N/A
Billing Account Number: N/A
Site Identifier: 144339
Funding Commitment: \$64,178.93
Funds Disbursed to Date: \$64,178.93
Funds to be Recovered from Applicant: \$18,117.00

Disbursed Funds Recovery Explanation:

After a through investigation, it has been determined that funds were improperly disbursed on this funding request. During the course of an audit it was determined that the following equipment purchased with the Universal Service funds for FY 2002, FRN 856634, could not be located: Seven switches were missing and one switch was not uninstalled. The total cost of the equipment was \$18,117.00. FCC rules require that the equipment purchased with program discounts be located at an eligible entity and be utilized effectively for educational purposes. The rules require that applicants retain asset and inventory records of equipment purchased and components of supported internal connections services sufficient to verify the location of such equipment for five years. Since the equipment purchased with Universal Service funds could not be located the above FCC rules were violated. USAC will seek recovery of \$18,117 of improperly disbursed funds from the applicant.

EXHIBIT B

Stockton Administrator's Decision on Appeal FY 2002 11202017



Universal Service
Administrative Co.

Schools and Libraries Division

Administrator's Decision on Appeal - Funding Year 2002

November 20, 2017

Michelle Harken
CSM Consulting, Inc.
Stockton City Unif School Dist
28459 S, Chrisman Road
Tracy, CA 95304

Re: Applicant Name: Michelle Harken
 Billed Entity Number: 144339
 Form 471 Application Number:
 321303
 Form 486 Application Number: 211973
 Funding Request Number(s): 856634
 Your Correspondence Dated: August 20, 2017

After thorough review and investigation of all relevant facts, the Schools and Libraries Division (SLD) of the Universal Service Administrative Company (USAC) has made its decision in regard to your appeal of USAC's Funding Year 2002 for the Application Number indicated above. This letter explains the basis of USAC's decision. The date of this letter begins the 60 day time period for appealing this decision. If your Letter of Appeal included more than one Application Number, please note that you will receive a separate letter for each application.

Funding Request Number: 856634

Decision on Appeal: Denied

Explanation:

- **It has been determined that this funding commitment is denied because of switches being installed at locations other than the intended location on the 471.**

Since your appeal was denied in full, you may file an appeal with the FCC. Your appeal must be postmarked within 60 days of the date on this letter. Failure to meet this requirement will result in automatic dismissal of your appeal. You should refer to CC Docket No. 02-6 on the first page of your appeal to the FCC. If you are submitting your appeal via United States Postal Service, send to: FCC, Office of the Secretary, 445 12th Street SW, Washington, DC 20554. Further information and options for filing an appeal directly with the FCC can be found under the

Reference Area/"Appeals" of the SLD section of the USAC website or by contacting the Client Service Bureau. We strongly recommend that you use the electronic filing options.

We thank you for your continued support, patience, and cooperation during the appeal process.

Schools and Libraries Division
Universal Service Administrative Company

Michelle Harken
CSM Consulting, Inc.
Stockton City Unif School Dist
28459 S, Chrisman Road
Tracy, CA 95304

Billed Entity Number: 144339
Form 471 Application Number: 321303
Form 486 Application Number: 211973